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THW 3624

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| | | Application Number | 09/750,417 |
| | | Filing Date | December 27, 2000 |
| | | First Named Inventor | Stuart J. CLENAGHAN, et al. |
| | | Art Unit | 3624 |
| | | Examiner Name | Lalita M. Hamilton |
| Total Number of Pages in This Submission | 21 | Attorney Docket Number | 74577-052 |

ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|-----------------------------------|
| Firm or Individual name | Abigail F. Cousins, Reg No 29,292 |
| Signature | <i>Abigail Cousins</i> |
| Date | January 30, 2006 |

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| Signature | <i>Elizabeth Tavarez</i> | Date | January 30, 2006 |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit 3624 Examiner Lalita M. Hamilton

Application of : Stuart J. CLENAGHAN, ET AL.
 Filed : December 27, 2000
 Serial No. : 09/750,417
 Title : METHOD AND APPARATUS FOR
 SELLING FINANCIAL INSTRUMENTS

AMENDMENT

Commissioner for Patents
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I hereby certify that this paper is being deposited this date with the U.S. Postal Service
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Sir:

In response to the previous NON-FINAL Office Action dated March 28, 2005 and the Communication dated October 7, 2005, Applicants timely filed a Response together with a Petition For Extension Of Time on July 12, 2005 and a corrected Response together with a Petition For Extension Of Time on November 2, 2005. However, the claim identifiers in claims 10-17, 31-35 and 37 were determined to be incorrect, as was kindly pointed out by the Examiner in the present Communication dated January 23, 2006, and a one month term to February 23, 2006 for correcting this error was set.

Accordingly, the Applicants are now resubmitting their Response as an Amendment with the required correction. In addition, claim 36, which had depended from withdrawn claim 32, has been amended to depend directly from independent claim 25. The remainder of this paper consists of a repeat of the Response of November 2, 2005.

The Examiner is respectfully requested to favorably reconsider the above-identified patent application for the following reasons:

The listing of claims begins on page 3 of this paper.

Remarks/Arguments begin on page 15 of this paper.